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JCD-8786-PCT:JVM:163577

**TRANSMITTAL
FORM**

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/914.366
	Filing Date	
	First Named Inventor	Robert Kay
	Group Art Unit	
	Examiner Name	
Total Number of Pages in this Submission		Attorney Docket Number 5600-2

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	X Information Discl. Citation
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund	X 7 cited refs.
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	John V. Moriarty WOODARD EMHARDT NAUGHTON MORIARTY & MCNETT
Signature	<i>John V. Moriarty</i>
Date	March 28, 2002

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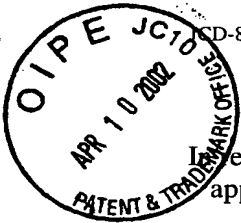
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Typed or printed name	John V. Moriarty
Signature	<i>John V. Moriarty</i>
Date	March 28, 2002



CD-8786-PCT:JVM:163570

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent
application of:

Robert Kay

Serial No. 09/914,366

Filed

NOVELTY ANIMATED DEVICE WITH
SYNCHRONISED AUDIO OUTPUT, AND
METHOD FOR ACHIEVING
SYNCHRONISED AUDIO OUTPUT
THEREIN

)
) Before the Examiner
)
)
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, the applicant wishes to bring the
attached documents to the Examiner's attention. A copy of each listed document is enclosed.
Please provide any extensions of time which may be necessary and charge any fees which
may be due to Deposit Account No. 23-3030, but not to include any payment of issue fees.

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JUL 25 2002

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Respectfully submitted

By: _____

John V. Moriarty
John V. Moriarty
Reg. No. 26,207
Woodard, Emhardt, Naughton,
Moriarty & McNett
Bank One Center/Tower
111 Monument Circle, Suite 3700
Indianapolis, Indiana 46204-5137
(317) 634-3456

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John V. Moriarty
Name of Registered Representative

John V. Moriarty
Signature
29 March 2002

Date of Signature